

KJMC FINANCIAL SERVICES LIMITED

NBFC : No. B-13.01633



KJMC FINSERV
MONEY MATTERS

April 17, 2024

To,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001
Scrip Code: 530235

Sub: Submission of Compliance Certificate issued under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

With reference to the captioned subject, please find enclosed herewith Compliance Certificate issued under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, issued by Rathi & Associates, Practicing Company Secretaries, Mumbai for the financial year ended March 31, 2024.

Request to kindly take the above information on record.

Thanking you,

Yours faithfully,

For KJMC Financial Services Limited

Behla M. Tambawala
Company Secretary & Compliance Officer
M. No.: A63971



Encl: A/a

Regd. office :- 162, 16th Floor, Atlanta, Nariman Point, Mumbai - 400 021.

Tel.: +91-22-2288 5201-2, 4094 5500 ● Fax: +91-22-2285 2892 ● Email: info@kjmc.com ● Website : www.kjmcfinserv.com

CIN : L65100MH1988PLC047873

Rathi & Associates

COMPANY SECRETARIES

A-303, Prathamesh, 3rd Floor, Raghuvanshi Mills Compound, 11-12, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.
Tel.: 4076 4444 / 2491 1222 • Fax : 4076 4466 • E-mail : associates.rathi8@gmail.com

COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

We have examined all relevant records relating to **KJMC Financial Services Limited** (hereinafter referred to as "**the Company**") for the period from **April 1, 2023 to March 31, 2024**, maintained by **Bigshare Services Private Limited** (hereinafter referred to as "**the Registrar & Share Transfer Agents**" or "**the RTA**") produced before us for the purpose of issuing a certificate under Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, as amended, read with SEBI Notifications dated May 5, 2021 and January 24, 2022 and SEBI Circular No. SEBI/HO/MIRSD/MIRSD RTAMB/P/CIR/2022/8 dated January 25, 2022, and based on our examination, information and explanations furnished to us, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that during the financial year ended **March 31, 2024**, the RTA has issued Letter of Confirmation, within the stipulated time period, as per SEBI's operational guidelines, as the case may be in respect of application lodge for:

- Transmission of securities;

We further certify that no requests were received for transfer and transposition and deletion of name, issue of duplicate securities certificate, sub-division, consolidation, renewal, exchange, or endorsement of calls/ allotment monies of shares held in physical mode.

For RATHI & ASSOCIATES
COMPANY SECRETARIES



A handwritten signature in blue ink, appearing to read "Jayesh Shah", with a horizontal line underneath.

JAYESH SHAH
PARTNER
FCS NO. 5637
COP NO. 2535
UDIN: F005637F000149869
P. R. Certificate No. 668/2020

Date: April 17, 2024

Place: Mumbai